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# Antitumor Activities by a Humanized Cancer-Specific Anti-HER2 Monoclonal Antibody (humH<sub>2</sub>Mab-250) in Xenograft Models of Human Breast Cancer

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**Abstract:** Monoclonal antibody (mAb) and cell-based immunotherapies represent cutting-edge strategies for cancer treatment. However, safety concerns persist due to the potential targeting of normal cells that express reactive antigens. Therefore, it is crucial to develop cancer-specific mAbs (CasMabs) that can bind to cancer-specific antigens and exhibit antitumor activity *in vivo*, thereby reducing the risk of adverse effects. We previously screened mAbs targeting human epidermal growth factor receptor 2 (HER2) and successfully developed a cancer-specific anti-HER2 mAb, H<sub>2</sub>Mab-250/H<sub>2</sub>CasMab-2 (mouse IgG<sub>1</sub>, kappa). In this study, we assessed both the *in vitro* and *in vivo* antitumor efficacy of the humanized H<sub>2</sub>Mab-250 (humH<sub>2</sub>Mab-250). Although humH<sub>2</sub>Mab-250 showed lower reactivity to HER2-overexpressed Chinese hamster ovary-K1 (CHO/HER2) and breast cancer cell lines (BT-474 and SK-BR-3) than trastuzumab in flow cytometry, both humH<sub>2</sub>Mab-250 and trastuzumab showed similar antibody-dependent cellular cytotoxicity (ADCC) against CHO/HER2 and the breast cancer cell lines in the presence of effector splenocytes. In addition, humH<sub>2</sub>Mab-250 exhibited significant complement-dependent cellular cytotoxicity (CDC) in CHO/HER2 cells compared to trastuzumab. Furthermore, humH<sub>2</sub>Mab-250 possesses compatible *in vivo* antitumor effects against breast cancer xenografts with trastuzumab. These findings highlight the distinct roles of ADCC and CDC in the antitumor effects of humH<sub>2</sub>Mab-250 and trastuzumab and suggest a potential direction for the clinical development of humH<sub>2</sub>Mab-250 for HER2-positive tumors.

**Keywords:** cancer-specific monoclonal antibody; HER2; ADCC; CDC; xenograft; breast cancer

## 1. Introduction

Monoclonal antibody (mAb)-based therapeutics are essential for treating various diseases. The U.S. Food and Drug Administration (FDA) approved the first therapeutic mAb, Orthoclone OKT3 (mouse anti-CD3 mAb), for kidney transplantation rejection in 1986 [1]. However, the first-generation mouse mAbs tested in clinical trials had limited effectiveness due to their immunogenicity and poor effector functions [2]. Patients developed human anti-mouse antibody responses, which caused the rapid clearance of the therapeutic mAbs from the body and restricted the number of possible treatment doses [2]. The creation of engineered chimeric, humanized, and fully human mAbs has uncovered several valuable applications for antibody-based therapies [3,4].

In mAb therapy for solid tumors, the FDA approved trastuzumab for human epidermal growth factor receptor 2 (HER2)-positive breast cancer in 1998 [5]. The HER2-positive breast cancer is defined by circumferential membrane staining that is complete, intense, and in >10% of tumor cells in immunohistochemistry (IHC 3+) and/or in situ hybridization (ISH)-positive [6]. Trastuzumab is a humanized mAb by inserting the complementary determining regions (CDRs) of mouse anti-HER2 mAb (clone 4D5) into the framework of a consensus human IgG<sub>1</sub> [7]. Trastuzumab exhibited antitumor efficacy against HER2-positive breast cancer xenograft in monotherapy or combination therapy with chemotherapy [8,9]. The clinical efficacy of trastuzumab is mediated by the immunologic engagement [10]. Trastuzumab exerts antibody-dependent cellular cytotoxicity (ADCC) upon the binding of Fcγ receptors on natural killer cells or macrophages [10]. The combination therapy of trastuzumab with chemotherapy improves the progression-free survival and overall survival in HER2-positive breast cancer patients with metastasis [11]. Currently, HER2 overexpression and activating mutations have been observed in gastric and gastroesophageal cancers [12,13], endometrial cancer [14,15], non-small-cell lung cancer [16,17], and ovarian cancers [18].

Trastuzumab-deruxtecan (T-DXd), a trastuzumab-based antibody–drug conjugate (ADC), has been developed and received the FDA approval [19]. T-DXd has demonstrated superior efficacy not only in HER2-positive breast cancers [20,21] but also in HER2-low (IHC 1+ or IHC 2+/ISH-non-positive) advanced breast cancers [22] and HER2-mutant non-small-cell lung cancer [23]. Given that approximately half of all breast cancers are classified as HER2-low, a substantial number of patients are expected to benefit from T-DXd therapy [24]. Although T-DXd is generally well-tolerated and rarely causes severe toxicity, studies have consistently linked it to the development of cardiac toxicity. While this issue is not clinically significant in most cases, baseline cardiac evaluation, regular monitoring, and early detection of cardiac adverse events are still crucial for T-DXd. Since HER2 plays a critical role in normal heart development and homeostasis [25,26], on-target, off-tumor toxicity in the heart would cause adverse effects. Therefore, management of the specificity of mAb to tumors will be required for further optimization.

Activation of the complement-dependent cytotoxicity (CDC) pathway has been suggested as a mechanism to enhance the therapeutic effectiveness of antitumor mAbs [27]. It is one of the reported mechanisms for B-cell targeting anti-CD20 mAbs, such as ofatumumab and rituximab [28–31]. Trastuzumab mediates antitumor effects through various mechanisms but is unable to induce CDC in HER2-positive cells in the presence of human serum [32,33]. The activation of the classical complement pathway is regulated by various factors, including the size and density of the antigen, which influence the geometry of the antigen-antibody complex needed for effective C1q binding [27]. For optimal CDC activity, the Fc domains of antibodies within antigen-antibody clusters must be organized in a hexameric structure, which creates a geometry that enhances C1q binding and complement activation [27]. Approaches to improve CDC, such as antibody hexamerization [34,35] and Fc mutations [36], have shown promise in boosting antitumor activity in preclinical studies. For example, hexamerization was used to develop an anti-CD37 biparatopic antibody with enhanced *in vitro* CDC activity [37].

We previously generated cancer-specific anti-HER2 mAbs, H<sub>2</sub>Mab-214/H<sub>2</sub>CasMab-1 [38] and H<sub>2</sub>Mab-250/H<sub>2</sub>CasMab-2 [39], selected from 278 anti-HER2 clones, using HER2 expressed by glioblastoma LN229 cells as the target antigen. Interestingly, both H<sub>2</sub>Mab-214 and H<sub>2</sub>Mab-250 showed no reactivity toward spontaneously immortalized normal epithelial cells, such as HaCaT and MCF 10A [38,39]. Moreover, H<sub>2</sub>Mab-250 exhibited no binding to normal epithelial cells derived from various tissues, including the mammary gland, kidney proximal tubule, gingiva, colon, thymus, cornea, and lung bronchus [39]. In contrast, most anti-HER2 mAbs, including trastuzumab, reacted with both cancer and normal epithelial cells [39]. Furthermore, H<sub>2</sub>Mab-250 exhibited no reactivity with the normal heart in IHC [39]. Epitope mapping identified Trp614 in HER2 extracellular domain 4 (ECD4) as a critical determinant for H<sub>2</sub>Mab-250 recognition [39]. H<sub>2</sub>Mab-214 was also found to target a similar epitope as H<sub>2</sub>Mab-250, with structural analysis suggesting that H<sub>2</sub>Mab-214 binds to a misfolded region of the β-sheet in HER2-ECD4 [32]. This suggests that localized misfolding within the cysteine-rich portion of ECD4 contributes to the cancer specificity of H<sub>2</sub>Mab-214. Additionally,

we engineered mouse IgG<sub>2a</sub> and mouse-human chimeric versions of H<sub>2</sub>Mab-250. Both antibodies demonstrated antitumor activity against breast cancer xenografts *in vivo*, performing comparably to trastuzumab despite lower binding affinity and effector function activation *in vitro* [40,41].

In this study, we evaluated both the *in vitro* and *in vivo* antitumor efficacy of the humanized version of H<sub>2</sub>Mab-250 (humH<sub>2</sub>Mab-250) compared with trastuzumab.

## 2. Materials and Methods

### 2.1. Cell Lines

CHO-K1, BT-474, and SK-BR-3 cell lines were sourced from the American Type Culture Collection (Manassas, VA, USA). CHO-K1 and CHO/HER2 (HER2-overexpressed CHO-K1) [39] were maintained in RPMI-1640 medium [Nacalai Tesque, Inc. (Nacalai), Kyoto, Japan], while BT-474 and SK-BR-3 were cultured in DMEM (Nacalai). All media were supplemented with 10% heat-inactivated fetal bovine serum [FBS; Thermo Fisher Scientific Inc. (Thermo), Waltham, MA, USA] and antibiotic-antimycotic mixed solution (Nacalai).

### 2.2. Recombinant mAb Production

The CDRs of H<sub>2</sub>Mab-250 V<sub>H</sub>, frame sequences of V<sub>H</sub> in human Ig, and C<sub>H</sub> of human IgG<sub>1</sub> were cloned into the pCAG-Neo vector to generate a humanized anti-human HER2 mAb (humH<sub>2</sub>Mab-250). The CDRs of H<sub>2</sub>Mab-250 V<sub>L</sub>, frame sequences of V<sub>L</sub> in human Ig, and C<sub>L</sub> of human kappa chain were cloned into the pCAG-Ble vector. We transfected the antibody expression vectors of humH<sub>2</sub>Mab-250 into BINDS-09 (fucosyltransferase 8-knockout ExpiCHO-S) cells using the ExpiCHO-S Expression System (Thermo). Trastuzumab was produced as described previously [41]. As a control human IgG<sub>1</sub> mAb, humCvMab-62 was produced from CvMab-62 [42] using the abovementioned method. To confirm the purity of mAbs, they were treated with sodium dodecyl sulfate sample buffer containing 2-mercaptoethanol, separated on 5%–20% polyacrylamide gel, and stained by Bio-Safe CBB G-250 (Bio-Rad Laboratories, Inc., Berkeley, CA).

### 2.3. Animal Experiments

To assess the antitumor effects of humH<sub>2</sub>Mab-250, animal experiments were authorized by the Institutional Committee for Experiments at the Institute of Microbial Chemistry (approval no. 2024-059).

### 2.4. Flow Cytometry

CHO-K1, CHO/HER2, BT-474, and SK-BR-3 cells were harvested using 0.25% trypsin and 1 mM ethylenediaminetetraacetic acid (EDTA; Nacalai). The cells ( $1 \times 10^5$  cells per sample) were incubated with blocking buffer (control) (0.1% BSA in PBS), trastuzumab, or humH<sub>2</sub>Mab-250 for 30 minutes at 4 °C. Following this, the cells were treated with fluorescein isothiocyanate (FITC)-conjugated anti-human IgG (1:2000; Sigma-Aldrich Corp., St. Louis, MO, USA) for 30 minutes at 4 °C. Fluorescence data were collected using the SA3800 Cell Analyzer (Sony Corp., Tokyo, Japan) and analyzed with FlowJo software [BD Biosciences (BD), Franklin Lakes, NJ, USA].

### 2.5. ADCC

The effector splenocytes were obtained from female BALB/c nude mice (Jackson Laboratory; Kanagawa, Japan). Target cells (CHO/HER2, BT-474, and SK-BR-3) were labeled with 10 µg/mL of Calcein AM (Thermo). The target cells were plated in 96-well plates at a density of  $1 \times 10^4$  cells/well and combined with effector splenocytes (effector-to-target ratio, 50:1) and 100 µg/mL of either control human IgG<sub>1</sub>, trastuzumab, or humH<sub>2</sub>Mab-250. After incubating for 4.5 hours, the calcein released into the supernatant was measured as described previously [40].

## 2.6. CDC

The target cells labeled with Calcein AM (CHO/HER2, BT-474, and SK-BR-3) were seeded and combined with rabbit complement (final concentration 15%, Low-Tox-M Rabbit Complement; Cedarlane Laboratories, Hornby, ON, Canada) along with 100 µg/mL of either control human IgG<sub>1</sub>, trastuzumab, or humH<sub>2</sub>Mab-250. After a 4.5-hour incubation at 37 °C, the amount of calcein released into the medium was measured.

## 2.7. Antitumor Activity of humH<sub>2</sub>Mab-250 in Xenografts of CHO-K1, CHO/HER2, BT-474, and SK-BR-3

BALB/c nude mice were subcutaneously inoculated with  $5 \times 10^6$  cells suspended in BD Matrigel Matrix Growth Factor Reduced (BD). On day 7 post-injection, the mice were treated with 100 µg of either control human IgG<sub>1</sub> (n = 8), trastuzumab (n = 8), or humH<sub>2</sub>Mab-250 (n = 8) via intraperitoneal injection. The treatment was repeated on days 14 and 21. Tumor size was monitored on days 7, 10, 14, 21, 23, and 27, and tumor volume was calculated as described previously [40].

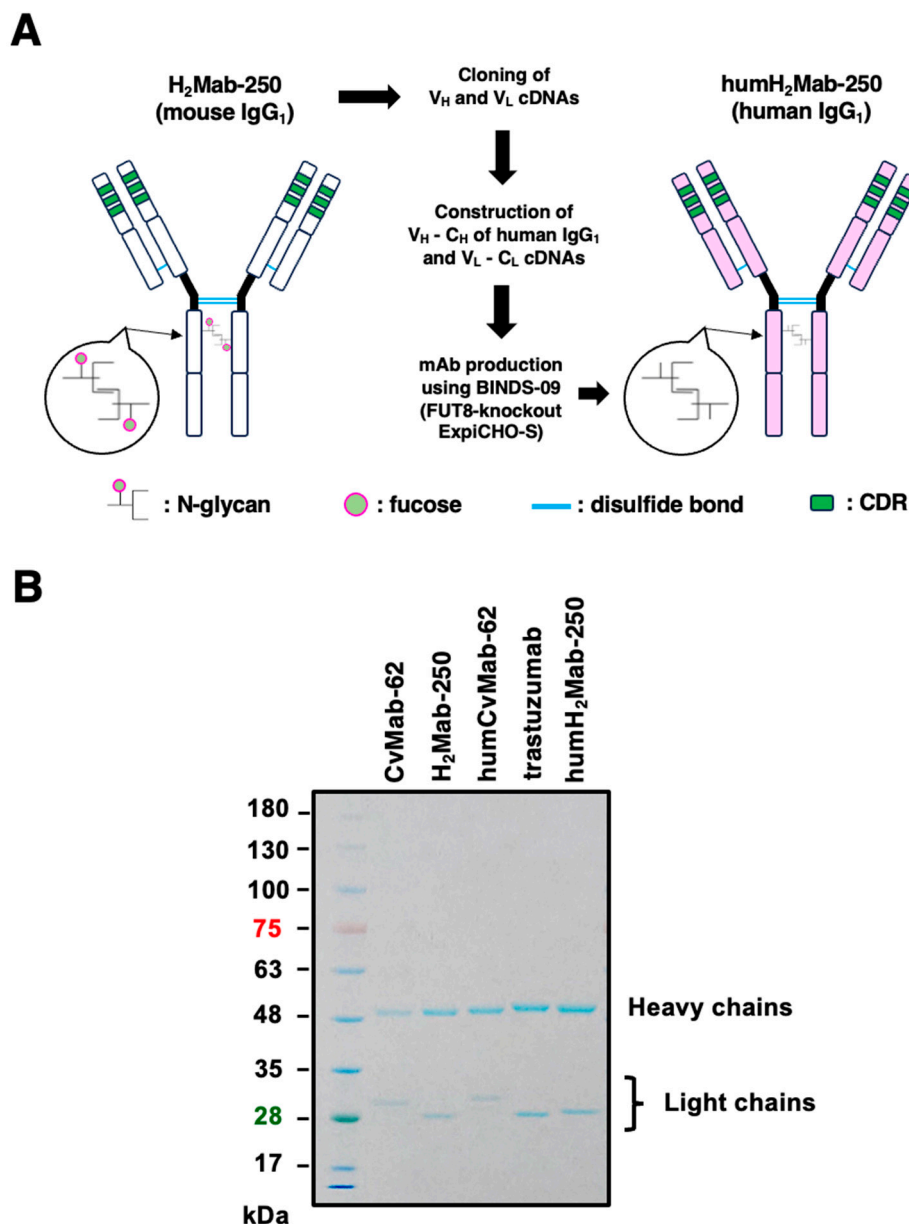
## 2.8. Statistical Analyses

Data are presented as the mean  $\pm$  standard error of the mean (SEM). Statistical analyses for ADCC, CDC, and tumor weight were performed using one-way ANOVA followed by Tukey's multiple comparisons test. Two-way ANOVA with Tukey's multiple comparisons test was applied to measure tumor volume and mouse weight. A p-value of less than 0.05 was considered statistically significant.

# 3. Results

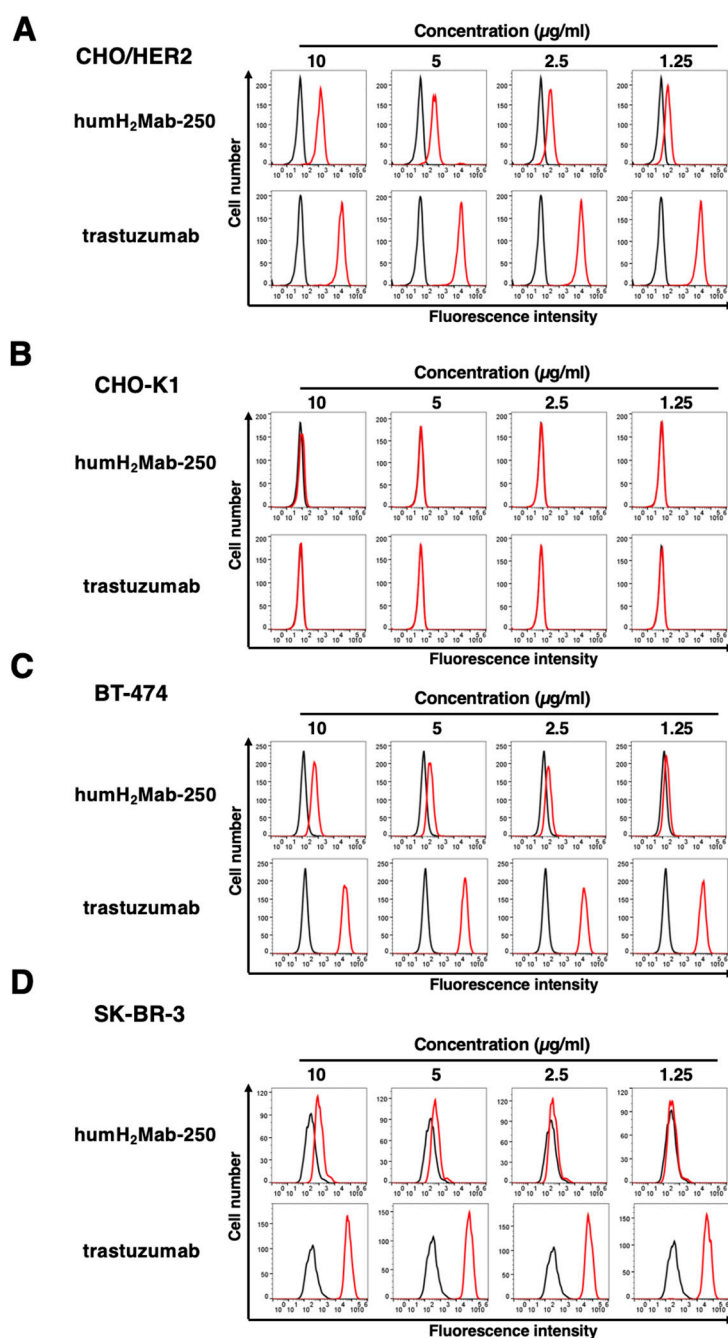
## 3.1. Humanized anti-HER2 mAb, humH<sub>2</sub>Mab-250

We previously established an anti-HER2 mAb (H<sub>2</sub>Mab-250; mouse IgG<sub>1</sub>, kappa) by immunization with the HER2 ectodomain produced by glioblastoma LN229 cells [39]. H<sub>2</sub>Mab-250 was shown to be useful for flow cytometry [39]. In this study, we engineered a humanized H<sub>2</sub>Mab-250 (humH<sub>2</sub>Mab-250) by fusing the V<sub>H</sub> and V<sub>L</sub> CDRs of H<sub>2</sub>Mab-250 with the C<sub>H</sub> and C<sub>L</sub> chains of human IgG<sub>1</sub>, respectively (Figure 1A). We also produced trastuzumab and humCvMab-62 from CvMab-62 (mouse IgG<sub>1</sub>) [42]. In reduced conditions, we confirmed the purity of mAbs by SDS-PAGE (Figure 1B).



**Figure 1.** Generation of a humanized IgG<sub>1</sub> mAb, humH<sub>2</sub>Mab-250. (A) The CDRs of H<sub>2</sub>Mab-250 V<sub>H</sub> and V<sub>L</sub> were cloned into human IgG<sub>1</sub> and human kappa chain, respectively. The humH<sub>2</sub>Mab-250 was produced by BINDS-09 (fucosyltransferase 8-knockout ExpiCHO-S) cells, as described in materials and methods. (B) Confirmation of the purified mAbs. MAb (2 μg) were treated with sodium dodecyl sulfate sample buffer containing 2-mercaptoethanol. Proteins were separated on 5%–20% polyacrylamide gel and stained by Bio-Safe CBB G-250.

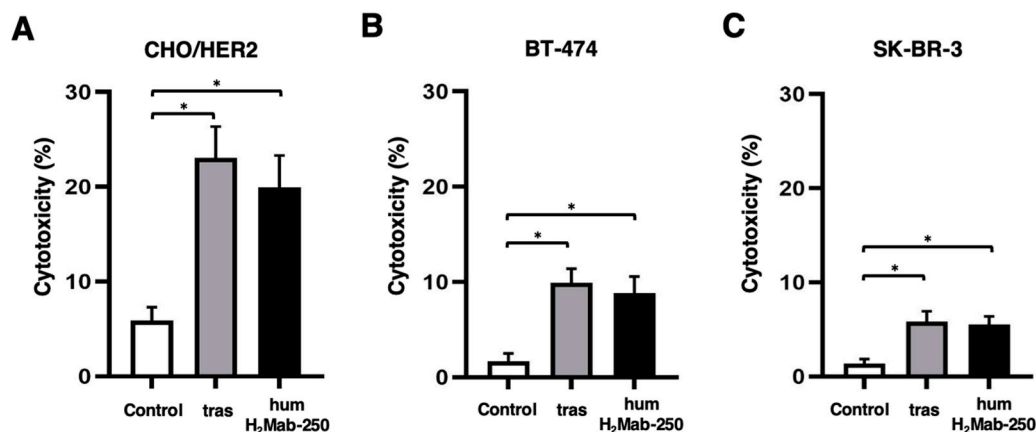
As shown in Figure 2, humH<sub>2</sub>Mab-250 and trastuzumab were detected in CHO/HER2 cells in a dose-dependent manner (Figure 2A) but not in parental CHO-K1 cells (Figure 2B). Furthermore, humH<sub>2</sub>Mab-250 and trastuzumab reacted with HER2-positive breast cancer BT-474 (Figure 2C) and SK-BR-3 (Figure 2D). The reactivity of humH<sub>2</sub>Mab-250 to HER2-positive cells was similar compared to that of parental mAb, H<sub>2</sub>Mab-250 [39]. We also confirm that humCvMab-62 did not react with CHO-K1, CHO/HER2, BT-474, and SK-BR-3 at 10 μg/ml (Supplementary Figure S1). We used humCvMab-62 as a control human IgG<sub>1</sub>.



**Figure 2.** Flow cytometry using humH<sub>2</sub>Mab-250 and trastuzumab. CHO/HER2(A), CHO-K1 (B), BT-474 (C), and SK-BR-3 (D) cells were treated with humH<sub>2</sub>Mab-250 (10 to 1.25 µg/mL), trastuzumab (10 to 1.25 µg/mL), or buffer control, followed by anti-human IgG conjugated with FITC. The SA3800 Cell Analyzer was used to analyze fluorescence data.

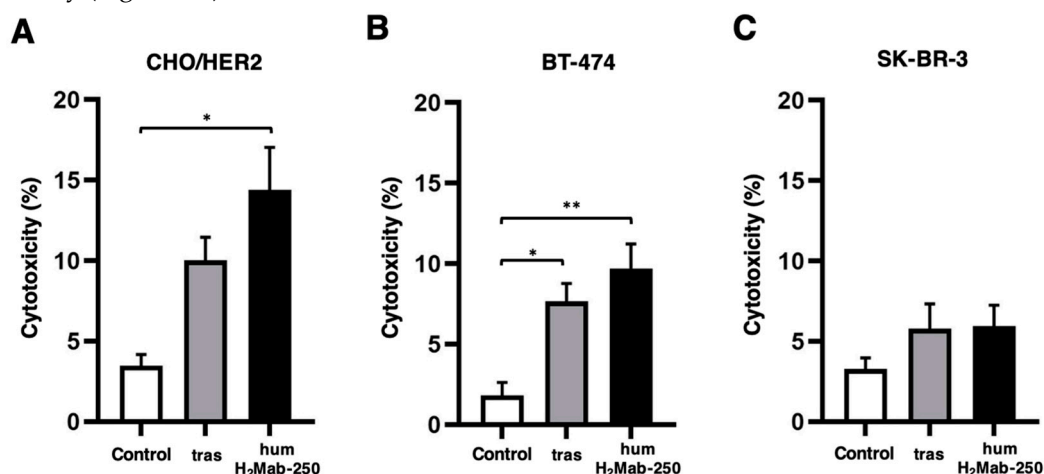
### 3.2. ADCC and CDC by humH<sub>2</sub>Mab-250 Against HER2-Positive Cells

We next examined whether humH<sub>2</sub>Mab-250 exerted ADCC activity against CHO/HER2 cells. As shown in Figure 3A, humH<sub>2</sub>Mab-250 and trastuzumab induced ADCC in the presence of effector splenocytes against CHO/HER2 (19.9 and 23.0% cytotoxicity, respectively) more effectively than the control human IgG<sub>1</sub> (5.9% cytotoxicity;  $p < 0.05$ ). Furthermore, both humH<sub>2</sub>Mab-250 and trastuzumab induced ADCC against BT-474 (8.8 and 9.9% cytotoxicity, respectively) more effectively than the control human IgG<sub>1</sub> (1.7% cytotoxicity;  $p < 0.05$ , Figure 3B). Both humH<sub>2</sub>Mab-250 and trastuzumab also induced ADCC against SK-BR-3 (5.5 and 5.8% cytotoxicity, respectively) more effectively than the control human IgG<sub>1</sub> (1.4% cytotoxicity;  $p < 0.05$ , Figure 3C).



**Figure 3.** The ADCC is mediated by humH<sub>2</sub>Mab-250 and trastuzumab. Calcein-labeled CHO/HER2 (A), BT-474 (B), and SK-BR-3 (C) were treated with trastuzumab (tras), humH<sub>2</sub>Mab-250 or control human IgG<sub>1</sub> in the presence of effector splenocytes. The cytotoxicity was determined by the release of calcein into the medium. Values are shown as the mean  $\pm$  SEM. Asterisks indicate statistical significance (\*  $p < 0.05$ ; one-way ANOVA Tukey's multiple comparisons test).

We investigated CDC by humH<sub>2</sub>Mab-250 and trastuzumab against CHO/HER2. As shown in Figure 4A, humH<sub>2</sub>Mab-250 showed a significant CDC in the presence of complements against CHO/HER2 (14.4% cytotoxicity) more effectively than the control human IgG<sub>1</sub> (3.5% cytotoxicity;  $p < 0.05$ ). In contrast, trastuzumab did not (Figure 4A). Furthermore, both humH<sub>2</sub>Mab-250 and trastuzumab induced CDC against BT-474 (9.7 and 7.7% cytotoxicity, respectively) more effectively than the control human IgG<sub>1</sub> (1.8% cytotoxicity;  $p < 0.05$  [trastuzumab],  $p < 0.01$  [humH<sub>2</sub>Mab-250], Figure 4B). In contrast, both humH<sub>2</sub>Mab-250 and trastuzumab did not induce CDC against SK-BR-3 significantly (Figure 4C).



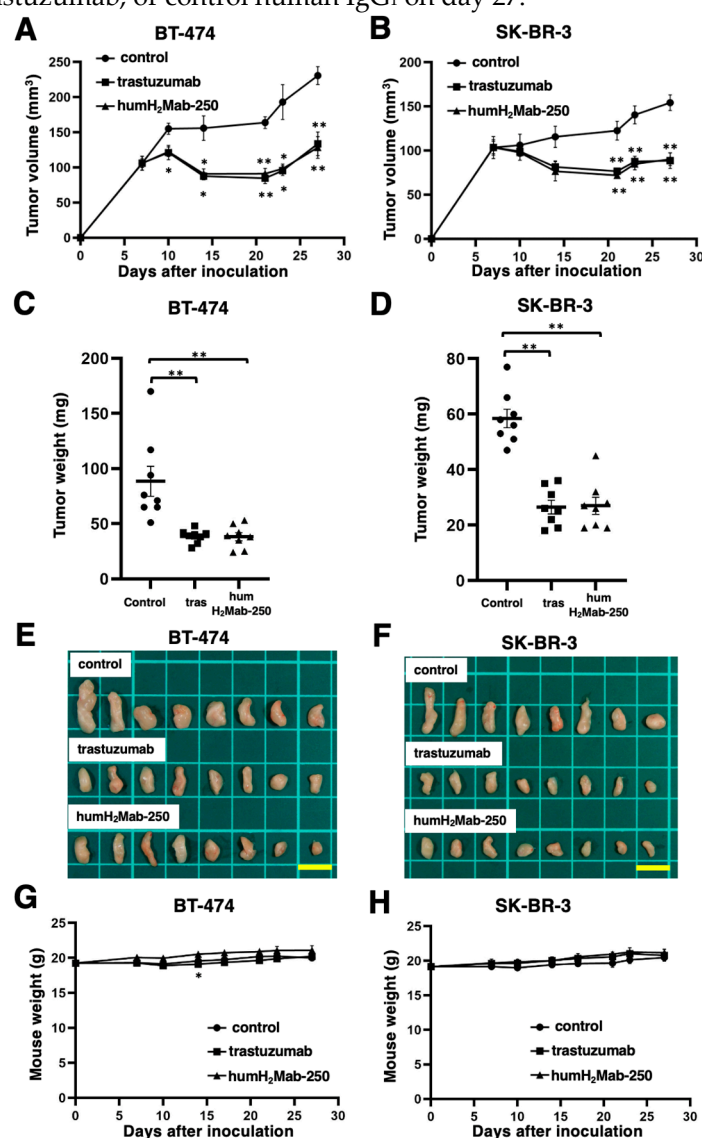
**Figure 4.** The CDC is mediated by humH<sub>2</sub>Mab-250 and trastuzumab. Calcein-labeled CHO/HER2 (A), BT-474 (B), and SK-BR-3 (C) were treated with trastuzumab (tras), humH<sub>2</sub>Mab-250 or control human IgG<sub>1</sub> in the presence of complements. The cytotoxicity was determined by the release of calcein into the medium. Values are shown as the mean  $\pm$  SEM. Asterisks indicate statistical significance (\*  $p < 0.05$  and \*\*  $p < 0.01$ ; one-way ANOVA Tukey's multiple comparisons test).

### 3.3. Antitumor Effects of humH<sub>2</sub>Mab-250 Against BT-474 and SK-BR-3 Xenografts

In the BT-474 and SK-BR-3 xenograft tumor-bearing mice, humH<sub>2</sub>Mab-250, trastuzumab, or control human IgG<sub>1</sub> was intraperitoneally administered on days 7, 14, and 21. The humH<sub>2</sub>Mab-250 treatment significantly reduced the volume of BT-474 xenografts on days 10 ( $p < 0.05$ ), 14 ( $p < 0.01$ ), 21 ( $p < 0.01$ ), 23 ( $p < 0.05$ ), and 27 ( $p < 0.01$ ) compared with that induced by the control human IgG<sub>1</sub>

(Figure 5A). The humH<sub>2</sub>Mab-250 treatment also caused a significant reduction in SK-BR-3 xenograft on days 21 ( $p < 0.01$ ), 23 ( $p < 0.01$ ), and 27 ( $p < 0.01$ ) compared with that induced by the control human IgG<sub>1</sub> (Figure 5B). Trastuzumab exhibited almost the same antitumor efficacy against BT-474 and SK-BR-3 xenografts with humH<sub>2</sub>Mab-250 (Figure 5A and 5B, respectively). The humH<sub>2</sub>Mab-250 and trastuzumab treatments resulted in similar decreases (57%) in BT-474 xenograft weight compared with that induced by the control human IgG<sub>1</sub> on day 27 (Figure 5C). The humH<sub>2</sub>Mab-250 and trastuzumab treatments also resulted in 54% and 55% decrease in SK-BR-3 xenograft weight compared with that induced by the control human IgG<sub>1</sub> on day 27 (Figure 5D).

Figures 5E and 5F demonstrate the BT-474 and SK-BR-3 xenografts resected on day 27. Body weight loss was rarely observed in BT-474 and SK-BR-3 xenograft-bearing mice treated with humH<sub>2</sub>Mab-250, trastuzumab, or control human IgG<sub>1</sub> (Figure 5G and 5H). Supplementary Figure S2 presents the body appearance of BT-474 and SK-BR-3 xenograft-inoculated mice treated with humH<sub>2</sub>Mab-250, trastuzumab, or control human IgG<sub>1</sub> on day 27.



**Figure 5.** Antitumor activity of humH<sub>2</sub>Mab-250 against BT-474 and SK-BR-3 xenografts. (A,B) BT-474 (A) and SK-BR-3 (B) cells were subcutaneously injected into BALB/c nude mice (day 0). On day 7, 100  $\mu$ g of humH<sub>2</sub>Mab-250, trastuzumab, or control human IgG<sub>1</sub> was administered. Additional antibodies were administered on days 14 and 21. The tumor volume was measured on days 7, 10, 14, 21, 23, and 27. Values are presented as the mean  $\pm$  SEM. \*  $p < 0.05$  and \*\*  $p < 0.01$ ; Two-way ANOVA Tukey's multiple comparisons test. (C,D) BT-474 (C) and SK-BR-3 (D) xenograft tumor weight on day 27. Values are represented as the mean  $\pm$  SEM. \*\*  $p < 0.01$ ; one-way ANOVA Tukey's multiple comparisons test. (E,F) The BT-474 (E) and SK-BR-3 (F) xenograft tumors on day 27 (scale bar, 1 cm).



(G,H) Body weight of BT-474 (G) and SK-BR-3 (H) xenograft-bearing mice treated with humH<sub>2</sub>Mab-250, trastuzumab, or control human IgG<sub>1</sub>. Values are presented as the mean ± SEM. \*  $p < 0.05$  (Two-way ANOVA with Tukey's multiple comparisons test).

#### 4. Discussion

In the development of mAbs for cancer treatment, identifying and validating suitable antigenic targets is crucial [4]. To achieve a favorable therapeutic index and minimize on-target toxicity, the ideal target antigens should be highly expressed in tumors with minimal or no presence in normal tissues. However, finding such optimal targets remains a significant challenge. Technologies like bispecific antibodies, defucosylated antibodies, and ADCs have improved antibody efficacy and advanced cancer therapy. However, the issue of on-target toxicity—caused by antigen recognition in normal cells—still persists. For instance, 97 ADCs have been evaluated in clinical trials since 2000. However, 81 trials were terminated. The reason for the termination was a lack of efficacy (32 agents) and safety issues (32 agents) [43]. On-target, off-tumor toxicity is thought to be a cause of adverse effects when the target antigen is expressed in normal cells. Therefore, selecting mAbs that specifically recognize cancer-related epitopes is critical to reducing unwanted side effects.

In this study, humH<sub>2</sub>Mab-250 exhibited antitumor efficacy in mouse xenograft models (Figure 5). The humH<sub>2</sub>Mab-250 demonstrated enhanced CDC activity in the presence of complement (Figure 4). Therefore, the formation of the MAC (membrane attack complex) is thought to form efficiently on the cell surface. Various factors, such as antigen size and density, influence the activation of the classical complement pathway [44]. Moreover, the geometry of the antigen–mAb complex facilitates efficient binding of C1q, which initiates the classical complement activation pathway [27]. Since IgG antibodies can form ordered hexamers upon binding to their target antigen on cell surfaces [34,45], the structure of the humH<sub>2</sub>Mab-250-HER2 complex may allow sufficient access for complement proteins to trigger CDC. Further research is needed to understand better the mechanisms by which humH<sub>2</sub>Mab-250 induces CDC.

CasMabs targeting HER2 (clones H<sub>2</sub>Mab-214 [38] and H<sub>2</sub>Mab-250 [39]) were identified through screening for reactive with cancer and non-reactive with normal cells in flow cytometry. Both CasMabs demonstrated antitumor effects in mouse xenograft models with their recombinant mouse IgG<sub>2a</sub> or mouse-human chimeric IgG<sub>1</sub> mAbs [38,40,41]. The recognition mechanism of H<sub>2</sub>Mab-214 was elucidated by X-ray crystallography, revealing that it binds to a locally misfolded structure in the extracellular domain IV of HER2, which typically forms a  $\beta$ -sheet [38]. Structural analysis of the H<sub>2</sub>Mab-250 and tumor-derived HER2 complex will be critical for further understanding the mechanism of cancer-specific recognition.

H<sub>2</sub>Mab-250 was also converted to a single chain variable fragment (scFv), developed to chimeric antigen receptor (CAR)-T cell therapy. A phase I clinical trial for patients with HER2-positive advanced solid tumors is underway in the US (NCT06241456). In CD19-positive relapsed/refractory B-cell leukemia patients who have previously been treated with CD19 CAR-T possessing mouse-derived scFv (mCD19 CAR-T), the reinfusion of mCD19 CAR-T cells may not be practical due to the development of antibodies against the anti-mouse scFv [46,47]. To address the immunogenicity, humanized CD19 CAR-T cell therapy was developed and showed a clinical benefit for the patients who had received mCD19 CAR-T therapy [48]. The scFv from humH<sub>2</sub>Mab-250 could be another option for CAR-T therapy targeting cancer-specific HER2.

Both trastuzumab and H<sub>2</sub>Mab-250 recognize the domain IV of HER2. Trastuzumab recognizes a wider epitope of HER2 (residues 579-625) [49]. In contrast, H<sub>2</sub>Mab-250 recognizes a narrow and membrane-proximal epitope of HER2 (residues 613-617) [39]. Significantly, the reactivity wholly disappeared in a HER2 (W614A) mutant [39]. Furthermore, H<sub>2</sub>Mab-250 showed a lower binding affinity ( $\sim 10^{-9}$  M) than trastuzumab ( $\sim 10^{-10}$  M) to HER2 ectodomain [41]. Several studies have shown that lower affinity CARs against CD19, glypican-3, and disialoganglioside (GD2) avoid excessive stimulation and exhaustion in the presence of low antigen burden, which leads to durable antitumor responses [50–52]. Furthermore, a novel anti-CD19 mAb, h1218 that possesses a membrane-proximal epitope and exhibits faster on/off rates compared to clinically approved FMC63, was developed [53].

The h1218-CAR-T showed increased killing of B-cell malignancies compared to FMC63-CAR-T. Mechanistically, the h1218-CAR-T has reduced activation-induced cell death compared to FMC63-CAR-T owing to faster on/off rates [53]. These results support that the low affinity and membrane-proximal epitope possessing H<sub>2</sub>Mab-250 CAR-T exhibited effectiveness in a preclinical study [54]. Furthermore, the formation of the MAC at the membrane-proximal region is considered essential to attack the plasma membrane of tumor cells. Among mAbs targeting CD20, ofatumumab has been shown to possess potent CDC activity compared to rituximab [28,55], which might be due to the membrane-proximal epitope and kinetics of binding to CD20 for C1q binding [29,55]. Therefore, further studies are essential to reveal the relationship between the epitope and CDC in HER2-targeting mAbs.

**Supplementary Materials:** The following supporting information can be downloaded at: Preprints.org, Figure S1: Flow cytometry using humCvMab-62 against CHO/HER2, CHO-K1, BT474, and SK-BR-3; Figure S2: Body appearance in PC-10 and LN319 xenografts-implanted mice.

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